

Kaluza–Klein 5D Ideas Made Fully Geometric

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After the 1916 success of general relativity that explained gravity by adding time as a fourth dimension, physicists have been trying to explain other physical fields by adding extra dimensions. In 1921, Kaluza and Klein has shown that under certain conditions like cylindricity ($\partial g_{ij}/\partial x^5 = 0$), the addition of the 5th dimension can explain the electromagnetic field. The problem with this approach is that while the model itself is geometric, conditions like cylindricity are not geometric. This problem was partly solved by Einstein and Bergman who proposed, in their 1938 paper, that the 5th dimension is compactified into a small circle S^1 so that in the resulting cylindric 5D space-time $R^4 \times S^1$ the dependence on x^5 is not macroscopically noticeable. We show that if, in all definitions of vectors, tensors, etc., we replace R^4 with $R^4 \times S^1$, then conditions like cylindricity automatically follow – i.e., these conditions become fully geometric.

KEY WORDS: 5D geometry; Kaluza–Klein theory; compactification of extra dimensions; Einstein–Bergman approach to 5D models.

PACS: 11.10.Kk Field theories in dimensions other than four, 04.50.+h Gravity in more than four dimensions.

1. PHYSICS: 5D GEOMETRY IS USEFUL

After the 1916 success of Einstein, who explained gravitation by combining space and time into a 4D space, there have been many efforts to explain other physical fields by adding other physical dimensions.

The first successful attempt was made by Kaluza and Klein in 1921. They showed that if, we formally consider the equations of general relativity theory in the 5D space, the equations for the normal 4×4 components g_{ij} of the metric tensor still describe gravitation, while the new components g^{5i} of the metric tensor satisfy Maxwell's equations (under the assumption that $g_{55} = \text{constant}$). Thus, if we go to 5D space, we get a geometric interpretation of electrodynamics.

The only problem with this interpretation is that it is formal: change in first four dimensions makes perfect physical sense, while there seemed to be no physical effects corresponding to change in 5th dimension. To solve this problem,

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Einstein and Bergmann proposed, in 1938 (Einstein and Bergmann, 1938), that the 5th dimension forms a tiny circle, so that only micro-particles “see” it, while for us, the world is 4D.

This is a standard view now in particle physics; see, (Green *et al.*, 1988; Polchinski, 1998): space is ten-or-eleven-dimensional, all dimensions except the first four are tiny.

2. FORMULAS FROM PHYSICAL 5D THEORIES THAT NEED TO BE EXPLAINED IN PURELY GEOMETRIC TERMS

In addition to a nice geometric model, the traditional description of Kaluza–Klein theory requires several additional physical formulas, formulas that look very artificial because they do not have a direct geometric explanation.

In this paper, we will show that, if, we take the Einstein–Bergmann model seriously, then these formulas can be derived – and thus, they are not additional and ad hoc.

What are these formulas that do not directly follow from the geometric model?

First, the assumption $g_{55} = \text{const}$ is artificial.

Second, since only four coordinates have a physical sense, the distance $\Delta s^2 = \sum_{i=1}^5 \sum_{j=1}^5 g_{ij} \cdot \Delta x_i \cdot \Delta x_j$ between the points x and $x + \Delta x$ should only depend on the first four coordinates – while in general, for a 5D metric, the terms $g_{55} \cdot (\Delta x^5)^2$ and $g_{5i} \cdot \Delta x^5 \cdot \Delta x^i$ create a difficult-to-explain dependence on Δx^5 .

Third, we would like to explain the fact that the observed values of physical fields do not depend on the fifth coordinate x^5 , e.g., that $\partial g_{ij} / \partial x^5 = 0$ (this condition is called *cylindricity*).

Several other formulas came from the attempts to give the fifth dimension a physical interpretation. Namely, in the 1940s, Yu. Rumer showed (Rumer, 1956) that, if we interpret x^5 as action $S = \int L dx dt$ (i.e., the quantity whose extrema define the field’s dynamics), then the fact that x^5 is defined on a circle is consistent with the fact that in quantum physics (e.g., in its Feynman integral formulation), action is used only as part of the expression $\exp(iS/h)$, whose value is not changed if, we add a constant $2\pi \cdot h$ to S . (For a H atom, this idea leads to the original Bohr’s quantization rules.)

Action is defined modulo arbitrary transformation $S \rightarrow S + f(x^i)$; thus, the corresponding transformation $x^5 \rightarrow x^5 + f(x^i)$ should be geometrically meaningful. Similar transformations stem from the electrodynamic interpretation of g_{5i} as A_i : gauge transformations $A_i \rightarrow A_i - \partial f / \partial x_i$.

3. NATURAL IDEA AND ITS PROBLEMS

The main difference between a standard 4D space and Einstein–Bergmann’s 5D model is that we have a cylinder $K = R^4 \times S^1$ (K for Kaluza) instead of a

linear space. It is, therefore, desirable to modify standard geometry by substituting K instead of R^4 into all definitions.

The problem with this idea is that the corresponding formalisms of differential geometry use the underlying linear space structure, i.e., addition and multiplication by a scalar. We still have addition in K , but multiplication is not uniquely defined for angle-valued variables: we can always interpret an angle as a real number modulo the circumference, but then, e.g., $0 \sim 2\pi$ while $0.6 \cdot 0 \not\sim 0.6 \cdot 2\pi$.

4. WHAT WE SUGGEST

We do need a real-number representation of an angle variable. A more natural representation of this variable is not as a single real number, but as a set $\{\alpha + n \cdot 2\pi\}$ of all possible real numbers that correspond to the given angle.

Similarly to interval and fuzzy arithmetic, we can naturally define element-wise arithmetic operations on such sets, e.g., $A + B = \{a + b \mid a \in A, b \in B\}$. We can then define tensors as linear mappings that preserve the structure of such sets, and we can define a *differentiable* tensor field as a field for which the set of all possible values of the corresponding partial derivatives is also consistent with the basic structure.

Comment These results were first announced in Kreinovich and Starks (1997); Starks and Kreinovich (1998); Kreinovich and Nguyen (2005).

5. RESULTING FORMALISM: IDEA

In mathematical terms, the resulting formalism is equivalent to the following: We start with the space K which is not a vector space (only an Abelian group). We reformulate standard definitions of vector and tensor algebra and tensor analysis and apply them to K : K -vectors are defined as elements of K ; K -covectors as elements of the dual group, etc. All physically motivated conditions turn out to be natural consequences of this formalism.

6. K -VECTORS

In the traditional 4D space-time R^4 , we can define a *vector* as simply an element of R^4 . In our case, instead of 4D space-time R^4 , we have a 5D space-time $K \stackrel{\text{def}}{=} R^4 \times S^1$, in which S^1 is a circle of a small circumference $h > 0$ – i.e., equivalently, a real line in which two numbers differing by a multiple of h describe the same point: $(x^1, \dots, x^4, x^5) \sim (x^1, \dots, x^4, x^5 + k \cdot h)$. Thus, it is natural to define K -vectors as simply elements of K :

Definition 1. A K -vector is an element of $K = R^4 \times S^1$.

On the set of all vectors in R^4 , there are two natural operations: (commutative) addition $a + b$ and multiplication by a real number $\lambda: a \rightarrow \lambda \cdot a$. Thus, this set is a linear space.

In contrast, on the set K of all K -vectors, we only have addition, so the set of all K -vectors is *not* a linear space, it is only an Abelian group.

7. K -COVECTORS

In physics, an important algebraic object is a *covector*: vectors describe the location x of a particle, while the corresponding covector p describes the energy and momentum of the corresponding particle. Because of this physical importance, it is necessary to generalize the notion of covectors to the new space.

We would like to provide a generalization that preserves the physical meaning of the connection between vectors and covectors. The physical connection is probably best described in quantum mechanics. In quantum mechanics, due to Heisenberg's uncertainty principle $\Delta x \cdot \Delta p \geq \hbar$, if we know the exact location of a particle (i.e., if $\Delta x = 0$), then, we have no information about the momentum (i.e., $\Delta p = \infty$), and vice versa, if we know the exact momentum ($\Delta p = 0$), then we have no information about the particle's location. In other words, if we have a state with a definite momentum p , and we then shift the coordinates by a vector t , i.e., replace x by $x + t$, the known state of the particle should not change.

In quantum mechanics, a state of the particle is described by a complex-valued function $\psi(x)$ called a *wave function*. The wave function itself is not directly observable, what we observe are probabilities $|\psi|^2$. So, if we multiply all the values of the wave-function by a complex number φ with $|\varphi| = 1$ (i.e., by a number of the type $\exp(i \cdot \alpha)$, where $I = \sqrt{-1}$ and α is a real number), then all the probabilities remain the same – i.e., from the physical viewpoint, we will have exactly the same state. Thus, for every real number α , the functions $\psi(x)$ and $\exp(i \cdot \alpha) \cdot \psi(x)$ describe exactly the same state. When we say that the state $\psi(x)$ does not change after shift $x \rightarrow x + t$, we mean that the original function $\psi(x)$ and the function $\psi(x + t)$ that describe the shifted state describe the same state – i.e., $\psi(x + t) = \varphi(t) \cdot \psi(x) = \exp(I \cdot \alpha(t)) \cdot \psi(x)$ for some complex number $\varphi(t)$ or, equivalently, real number $\alpha(t)$ (which, generally speaking, depends on the shift t).

Since $\exp(i \cdot 2 \cdot \pi) = 1$, the value $\alpha(t)$ is only determined modulo $2 \cdot \pi$. Thus, $\alpha(t)$ is a point on a circle rather than a real number.

For $x = 0$, we get $\psi(t) = \varphi(t) \cdot \psi(0)$, so modulo a multiplicative constant, shift-invariant states $\psi(t)$ are equal to the corresponding functions $\varphi(t)$. So, to determine such states, we must describe all the corresponding functions $\varphi(t)$.

When we shift by $t = 0$, the function remains unchanged, i.e., $\varphi(0) = 1$ (equivalently, $\alpha(0) = 0$).

If we first shift t and then by s , then we get the same result as if we shift once by $t + s$. Hence, we have

$$\varphi(s) \cdot (\varphi(t) \cdot \psi(x)) = \varphi(t + s) \cdot \psi(x),$$

so $\varphi(t + s) = \varphi(t) \cdot \varphi(s)$. So, from the physical viewpoint, a shift-invariant state φ is a mapping from R^4 to the unit circle $S^1 = \{\varphi : |\varphi| = 1\}$ that transform 0 into 1 and sum into sum. In mathematics, such a mapping is called a *homomorphism* from an Abelian additive group R^4 to S^1 .

It is also physically reasonable to assume that the wave function is continuous – hence, that the homomorphism φ is continuous. Continuous homomorphisms from an Abelian group G to a unit circle are called *characters*; the set of all such characters is also an Abelian group called *dual* (and denoted by G^*). So, it is natural to associate covectors with elements of the dual group.

For R^4 , this definition fits well with the more traditional one, because it is known that for R^4 , the dual group is also R^4 : every character has the form $\exp(i \cdot p \cdot x)$. For $K = R^4 \times S^1$, we get a new definition:

Definition 2. A *K-covector* is a character of the group K , i.e., a continuous homomorphism from K to S^1 . By a *sum* of two covectors, we mean the product of the corresponding homomorphisms.

The set of all K -covectors is thus a dual group $K^* \text{ to } K$. It is known that elements of this dual group have the form $\exp(i \cdot p \cdot x)$, where $p = (p_1, \dots, p_4, p_5)$, p_1, \dots, p_4 can be any real numbers, and p_5 is a multiple of $1/h$. Thus, the group K^* of all K -covectors is isomorphic to $R^4 \times Z$, where Z is the additive group of all integers.

Comment K -vectors are simply elements $x = (x_1, \dots, x_5)$ of R^5 , some of which are equivalent to each other: $x \sim x'$ if $x_5 - x'_5 = k \cdot h$ for some integer k . In other words, a K -vector can be viewed as a set

$$\{x' : x' \sim x\} = \{(x_1, \dots, x_4, x_5 + k \cdot h)\}.$$

A unit circle S^1 can also be described as simply the set R of all real numbers with the equivalence relation $\alpha \sim \alpha'$ if and only if $\alpha - \alpha' = k \cdot (2 \cdot \pi)$ or, equivalently, as the class of sets $\{\alpha + k \cdot (2 \cdot \pi)\}$.

In these terms, we can alternative describe K -covectors as linear mappings $x = (x_1, \dots, x_5) \rightarrow p \cdot x = \sum p_i \cdot x_i$ from R^5 to R that are consistent with the above structures, i.e., mapping for which $x \sim x'$ implies $p \cdot x \sim p \cdot x'$.

8. K-TENSORS

To describe individual particles, it is usually sufficient to consider vectors (that describe their location) and covectors (that describe their momentum). However, to describe field theories such as Maxwell’s theory of electromagnetism or Einstein’s General Relativity theory, it is not sufficient to consider only vectors and covectors, we also need to consider *tensors*.

Specifically, for $G = R^4$, for every two integers $p \geq 0$ and $q \geq 0$, a tensor of valence (p, q) can be defined as a *multi-linear* map $G^p \times (G^*)^q \rightarrow R$ – where *multi-linear* means that if, we fix the values of all the variables but one, we get a linear mapping. Every such multi-linear mapping has the form

$$x^{i_1}, \dots, y^{i_p}, z_{j_1}, \dots, u_{j_q} \rightarrow \sum_{i_1, \dots, i_p, j_1, \dots, j_q} t_{i_1, \dots, i_p}^{j_1, \dots, j_q} \cdot x^{i_1} \cdot \dots \cdot y^{i_p} \cdot z_{j_1} \cdot \dots \cdot u_{j_q}$$

for some components $t_{i_1, \dots, i_p}^{j_1, \dots, j_q}$. We, thus, naturally arrive at the following definition:

Definition 3. Let G_1, \dots, G_m, G be continuous Abelian groups. A mapping $t : G_1 \times \dots \times G_m \rightarrow G$ is called *Z-multi-linear* if for every i , if we fix the values of all the variables except i -th, we get a homomorphism.

Definition 4. Let $p \geq 0$ and $q \geq 0$. By a *K-tensor* of valence (p, q) , we mean a continuous Z-multilinear mapping $t : K^p \times (K^*)^q \rightarrow S^1$.

Comments For R^4 instead of K , this definition coincides with the traditional one.

When $K = R^4 \times S^1$, this definition is consistent with the previous ones: K -tensors of valence $(0, 1)$ are K -covectors, and K -tensors of valence $(1, 0)$ are K -vectors.

This definition can be reformulated as follows: a K -tensor is a multi-linear mapping that is consistent with the equivalence sets structure, i.e., for which $x \sim x', \dots, y \sim y'$ implies that $t(x, \dots, y, z, \dots, u) \sim t(x', \dots, y', z, \dots, u)$.

Two multi-linear mappings t and t' describe the same K -tensor if $t(x, \dots, y, z, \dots, u) \sim t'(x, \dots, y, z, \dots, u)$ for all x, \dots, y, z, \dots, u .

The following result describes all such mappings:

Proposition 1.

- Every K -tensor has the form

$$\exp \left(i \cdot \sum_{I_1, \dots, I_p, j_1, \dots, j_q} t_{I_1, \dots, I_p}^{j_1, \dots, j_q} \cdot x^{I_1} \cdot \dots \cdot y^{I_p} \cdot z_{j_1} \cdot \dots \cdot u_{j_q} \right)$$

for some components t_{\dots} . In this representation, of all the components in which one of the lower indices is 5, only a component $t_{5\dots 5}^{5\dots 5}$ can be nonzero, and it can only take values $2 \cdot \pi \cdot h^{q-1} \cdot k$ for some integer k .

- Vice versa, if we have a set of components t_{\dots} in which of all the components in which one of the lower indices is 5, only a component $t_{5\dots 5}^{5\dots 5}$ may be nonzero, its value is $2 \cdot \pi \cdot h^{q-1} \cdot k$ for some integer k , then the above formula defines a K -tensor.
- Two sets of components t_{\dots} and s_{\dots} define the same K -tensor if and only if all their components coincides with a possible exception of components $t_{5\dots 5}^{5\dots 5}$ and $s_{5\dots 5}^{5\dots 5}$ which may differ by $2 \cdot \pi \cdot h^q \cdot k$ for an integer k .

Comment For readers’ convenience, all the proofs are given in the Appendix.

9. EXPLAINING THE CONDITION $g_{55} = \text{CONSTANT}$ AND THE FACT THAT METRIC DOES NOT DEPEND ON x^5

For g_{ij} , Proposition 1 implies that $g_{55} = g_{5i} = 0$. Thus, the above geometric formalism explains the first two physical assumptions that, we wanted to explain: that $g_{55} = 0$ and that the distance $\Delta s^2 = \sum_{i=1}^5 \sum_{j=1}^5 g_{ij} \cdot \Delta x_i \cdot \Delta x_j$ between the two points x and $x + \Delta x$ only depends on their first four coordinates.

10. DIFFERENTIAL FORMALISM FOR K -TENSOR FIELDS

Definition 5. By a K -tensor field $f_{\dots}(x)$ of valence (p, q) , we mean a mapping that assigns, to every point $x \in K$, a K -tensor $f_{\dots}(x)$ of this valence.

Most physics is described in the language of differential equations. It is known that for every tensor field $t_{i_1\dots i_p}^{j_1\dots j_q}$ of valence (p, q) , its gradient $\partial t_{i_1\dots i_p}^{j_1\dots j_q} / \partial x^m$ is also a tensor field – of valence $(p + 1, q)$. This new field is called a *gradient* tensor field. It is, therefore, natural to give the following definition:

Definition 6. We say that a K -tensor field of valence (p, q) is *differentiable* if the corresponding component tensor field is continuously differentiable, and its gradient field also defines a K -tensor field.

In other words, to differentiate a K -tensor field, we form the corresponding tensor field, differentiate it, and then interpret the result as a K -tensor field of valence $(p + 1, q)$. When is this possible? The answer to this question is as follows:

Proposition 2. *The K -tensor field is differentiable if and only if all its components t_{\dots} do not depend on x^5 , with the possible exception of the component $t^{5\dots 5}$ which may have the form $2 \cdot \pi \cdot h^{q-1} \cdot x^5 + f(x_1, \dots, x_4)$.*

11. CYLINDRICITY EXPLAINED

As a result of Proposition 2, we conclude that for all the components t (except for angular-valued ones), we have the cylindricity condition $\partial t_{\dots} / \partial x^5 = 0$. Thus, the cylindricity conditions is also explained by the geometric model.

12. LINEAR COORDINATE TRANSFORMATIONS

In the traditional affine geometry, in addition to shifts, we can also consider arbitrary linear coordinates transformations. In geometric terms, we can define these transformations as continuous automorphisms of the additive group $K_0 = R^4$. We can define vectors and tensors as continuous homomorphisms $T : K_0^p \times (K_0^*)^q \rightarrow S^1$; in this case, e.g., standard formulas for transforming covectors (i.e., continuous homomorphisms $g : K_0 \rightarrow S^1$) can be uniquely determined by the requirement that the value $g(a)$ be preserved under such a transformation, i.e., that $g'(a') = g(a)$. Similarly, the transformation law for tensors can be determined by the condition that

$$t'(a'_1, \dots, a'_p, b'_1, \dots, b'_q) = t(a_1, \dots, a_p, b_1, \dots, b_q). \tag{1}$$

Similarly, for $K = R^4 \times S^1$, we can define a K -linear transformation as follows:

Definition 7. By a K -linear transformation, we mean a continuous automorphism of the additive group of K .

Proposition 3. *Every K -linear transformation has the form*

$$x^5 \rightarrow \pm x^5 + \sum_{i=1}^4 A_i \cdot x^i; \quad x^i \rightarrow \sum_{j=1}^4 b_j^i x^j, \quad (i \leq 4).$$

The corresponding tensor transformations can be defined by the condition (1). One can see that in this case, the tensor components are transformed just like the normal tensor components. In particular, under the above K -linear transformation, a covector is transformed as follows:

$$x_5 \rightarrow \pm x_5, \quad x_i \rightarrow \sum_{j=1}^4 c_i^j x_j - A_i \cdot x_5,$$

where c_i^j is the matrix that is inverse to b_j^i .

13. GENERAL COORDINATE TRANSFORMATIONS

Definition 8. A smooth transformation $s : K \rightarrow K$ is *admissible* if and only if for each point $x \in K$, the corresponding tangent transformation

$$a^i \rightarrow a_{\text{new}}^i = \sum_{j=1}^5 \frac{\partial s^i}{\partial x^j} \Big|_x a^j$$

is a K -linear transformation.

Proposition 4. *Every admissible transformation has the form*

$$x^5 \rightarrow \pm x^5 + f(x^1, \dots, x^4), \quad x^i \rightarrow f^i(x^1, \dots, x^4).$$

Comment We have already mentioned that functions on $K = R^4 \times S^1$ are simply functions on R^5 which are periodic in x^5 with the period h . Also, a K -covector p can be simply viewed as a covector for which the fifth component p_5 is an integer multiple of $1/h$. Thus., e.g., a K -covector field on K can be viewed as a covector field $p(x) = (p_1(x), \dots, p_5(x))$ on R^5 that satisfies the following two properties:

- (a) this field is periodic in x^5 with period p ;
- (b) for each x , the value $p_5(x)$ is an integer multiple of $1/h$.

It is, therefore, reasonable to define a general coordinate transformation of K as a coordinate transformation of R^5 that preserves this property, i.e., under which a covector field that satisfies the properties (a) and (b) are transformed into a covector field that also satisfies these properties. One can see that this leads to the same class of general coordinate transformations.

14. GAUGE TRANSFORMATIONS EXPLAINED

According to Proposition 4, every admissible transformation is a composition of a 4D transformation and an additional gauge transformation $x^5 \rightarrow x^5 + f(x^1, \dots, x^4)$ – exactly as described by Rumer.

15. CASE OF CURVED SPACE-TIME

In modern physics, space-time is a manifold, i.e., a topological space V which is locally diffeomorphic to R^4 . Since our basic model is not R^4 , but $K = R^4 \times S^1$, it is reasonable to define a K -manifold as a topological space that is locally diffeomorphic to K .

From the mathematical viewpoint, K is R^5 factorized over the vector $e = (0, \dots, 0, h)$: i.e., $a \sim b$ if and only if $a - b$ is an integer multiple of e . Thus, a natural way to describe a K -manifold is to describe a standard 5D manifold in which we have a vector $e(x)$ in every tangent space – i.e., a manifold with an additional vector field.

In this case, every tangent space is isomorphic to K . Thus, a K -tensor field can be defined as a mapping that maps every point $x \in V$ into a K -tensor defined over the space K which is tangent at x .

16. AUXILIARY RESULT: WHY THERE IS NO PHYSICALLY USEFUL GRAVITATIONAL ANALOG OF HERTZ POTENTIAL

In electromagnetism, in addition to the electromagnetic field F_{ij} and the potential A_i from which this field can be obtained by differentiation $F_{ij} = \partial A_i / \partial x_j - \partial A_j / \partial x_i$, there is also a useful notion of a *Hertz potential* H^{ik} for which A^i can be obtained by differentiation $A^i = \sum_k \partial H^{ik} / \partial x^k$.

In gravitation, the natural analogy of potentials A_i is the gravity tensor field g^{ij} . From the purely mathematical viewpoint, it is possible to introduce a gravitational analog of the Hertz potential: namely, there exists a tensor field Π^{ijk} for which

$$g^{ij} = \sum_k \frac{\partial \Pi^{ijk}}{\partial x^k}; \tag{2}$$

see, e.g., (Palchik, 1969). However, in contrast to the electromagnetic case, this new potential does not seem to have any physical applications. Why?

Our explanation is simple: while (2) is impossible in the 4D case, it is no longer possible if we consider 5D K tensor fields.

APPENDIX: PROOFS

Proof of Proposition 1

Let us first prove that every K -tensor can be described by the desired formula.

Indeed, let t be a K -tensor. Let us first consider the restriction of t to $K^p \times (R^4)^q$. Since locally, K coincides with R^5 , this restriction is, locally, a multi-linear map from $(R^5)^p \times (R^4)^q$ to S^1 . Since it is multi-linear, at 0, the value of this map is 1. In a small vicinity of 1, we can define a unique angle $(1/i) \cdot \ln t$. The resulting mapping is – locally – a multi-linear mapping, in the traditional sense of this term, from $(R^5)^p \times (R^4)^q$ to R . Hence, in this vicinity, $\ln t = i \cdot \sum t^{\dots} \cdot x^{i_1} \dots$. So, for the restriction of t to $K^p \times (R^4)^q$, we get the desired formula.

Similarly, for $K_0 \stackrel{\text{def}}{=} (R^5)^m \times R^4 \times \dots \times R^4 \dots \times e \times R^4 \times \dots \times R^4$, with r th term replaced by $e \stackrel{\text{def}}{=} (0, 0, 0, 0, h^{-1})$, we conclude that the restriction of t to K_0 has the form

$$\exp \left(i \cdot \sum t_{i_1 \dots i_p}^{j_1 \dots j_r - 15 j_{r+1} \dots j_q} \cdot x^{i_1} \cdot \dots \cdot y^{i_p} \cdot z_{j_1} \cdot \dots \cdot u_{j_q} \right)$$

for some values $t_{i_1 \dots i_p}^{j_1 \dots j_r - 15 j_{r+1} \dots j_q}$. Since the restriction of t to the r th copy of K^* is a homomorphism, this formula also holds for elements of $(R^5)^m \times R^4 \times \dots \times R^4 \dots \times Z \times R^4 \times \dots \times R^4$,

Similar formulas hold for the subsets that can be obtained by replacing some of $K^* = R^4 \times Z$ with R^4 and some by Z . Since t is a homomorphism with respect to each of its variables, we can represent each element $p = (p_1, \dots, p_4, p_5) \in K^*$ as a sum of $p^{(4)} = (p_1, \dots, p_4, 0) \in R^4$ and $p^{(5)} = (0, \dots, 0, p_5) \in Z$. For each of these two vectors, we have the desired formula; multiplying them, we get a similar formula for p . By using a similar decomposition with respect to other variables, we get the desired formula for all possible inputs from $K^p \times (K^*)^q$.

Let us now prove the desired properties of the components t_{\dots} . Since t is defined on $K^p \times (K^*)^q$, replacing x^5 with $x^5 + h$ should change the sum

$$\sum_{i_1, i_2, \dots, i_p, j_1, \dots, j_q} t_{i_1 i_2 \dots i_p}^{j_1 \dots j_q} \cdot x^{i_1} \cdot d^{i_2} \cdot \dots \cdot y^{i_p} \cdot z_{j_1} \cdot \dots \cdot u_{j_q}$$

by an integer multiple of $2 \cdot \pi$. In other words, the difference between the new sum and old sum, i.e.,

$$h \cdot \sum_{5, i_2, \dots, i_p, j_1, \dots, j_q} t_{5 i_2 \dots i_p}^{j_1 \dots j_q} \cdot d^{i_2} \cdot \dots \cdot y^{i_p} \cdot z_{j_1} \cdot \dots \cdot u_{j_q}$$

must be a multiple of $2 \cdot \pi$ for all d^{i_2}, \dots, y^{i_p} .

Let us first consider the case $p > 1$. For $d^{i_2} = \dots = y^{i_p} = 0$, the difference is equal to 0; this difference continuously depends on d^{i_2}, \dots, y^{i_p} , and it is only allowed a discrete set of values. Due to continuity, it cannot “jump” to values $2 \cdot \pi \cdot k$ for $k \neq 0$, hence it is always equal to 0. So, the above polynomial is identically 0, hence all its coefficients $t_{5 i_2 \dots i_p}^{j_1 \dots j_q}$ are identically 0.

Similarly, we can prove that $t_5^{i_1 \dots} = 0$ if $i_1 \neq 5$, so $t_5^{5 \dots 5}$ is indeed the only nonzero component of t_{\dots} for which one of the lower indices is 5. For this component, the fact that $h \cdot t_5^{5 \dots 5} \cdot p_5 \cdot \dots \cdot p_5 = 2 \cdot \pi \cdot k$, where $p_5 = 1/h$, leads to the desired formula for $t_5^{5 \dots 5}$.

To complete the proof, let us assume that the two sets of coefficients t_{\dots} and s_{\dots} define the same K -tensor. This means that for their difference δ_{\dots} , the sum

$$\sum_{i_1, \dots, i_p, j_1, \dots, j_q} \delta_{i_1 \dots i_p}^{j_1 \dots j_q} \cdot x^{i_1} \cdot \dots \cdot y^{i_p} \cdot z_{j_1} \cdot \dots \cdot u_{j_q}$$

is an integer multiple of $2 \cdot \pi$ for all $x^{i_1}, \dots, y^{i_p} \in K$ and $z_{j_1}, \dots, u_{j_q} \in K^*$. If $p > 0$, and one of the indices j_1, \dots, j_q is different from 5, then, as above, we can conclude that the sum is always 0,

So, all the corresponding coefficients δ_{\dots} are identically 0. The only possibly nonzero coefficient is $\delta^{5\dots 5}$. For this coefficient, the value $\delta^{5\dots 5} p_5 \cdot \dots \cdot p_5$, with $p_5 = 1/h$, must be proportional to $2 \cdot \pi -$ so $\delta^{5\dots 5} \cdot (1/h)^p = 2 \cdot \pi \cdot k$ for some integer k . Hence, the difference between $s^{5\dots 5}$ and $s^{5\dots 5}$ is indeed proportional to $2 \cdot \pi \cdot h^p$. The proposition is proven.

Proof of Proposition 2.

According to Proposition 1, the only possibly nonzero component of a K tensor with 5 as one of the lower indices is the component $t_5^{5\dots 5}$. All the values $\partial t_{i_1\dots i_p}^{j_1\dots j_q} / \partial x^5$ contain 5 as one of the lower indices, so the only component for which this value can be different from 0 is the one with $p = 0$ and $i_1 = \dots = i_p = 5$. For this component, $\partial t^{5\dots 5} / \partial x^5 = 2 \cdot \pi \cdot h^{p-1} \cdot k$. Since the K tensor field is continuously differentiable, this value cannot jump to a different value of k , so this derivative is constant. Integrating over x^5 , we get the desired formula for the dependence of this component on $x^5 -$ as a linear function of x^5 .

Proof of Proposition 3.

Since K locally coincides with R^5 , its continuous automorphisms locally coincide with continuous automorphisms $R^5 \rightarrow R^5$, i.e., with linear transformations

$$x_{\text{new}}^5 = A_5 \cdot x^5 + \sum_{i=1}^4 A_i \cdot x^i; \quad x_{\text{new}}^i = B^i \cdot x^5 + \sum_{j=1}^4 b_j^i x^j.$$

If $y^5 = x^5 + h$ and $y^i = x^i$ for all other i , then x and y define the exact same point in K . Therefore, the new values x_{new} and y_{new} must also define the same point, hence $y_{\text{new}}^i = x_{\text{new}}^i$ for $i = 1, \dots, 4$ (hence $B^i = 0$) and $y_{\text{new}}^5 - x_{\text{new}}^5 =$ integer multiple of h (hence A_5 is an integer).

Reversibility implies that A_5^{-1} should also be an integer, hence $A_5 = \pm 1$.

Proof of Proposition 4.

The condition that the tangent transformation is K -linear means that $\partial s^5 / \partial x^5 = \pm 1$ (and due to continuity this does not depend on the point x , i.e., either it is everywhere equal to 1, or it is everywhere equal to -1), and $\partial s^i / \partial x^5 = 0$ for $i < 4$. Hence, $s^5 = \pm x_5 + f(x^1, \dots, x^4)$ and $s^i = f^i(x^1, \dots, x^4)$ for $i < 5$.

Proof of a the statement about Hertz potentials

As we have mentioned, it is possible that $\partial g^{55}/\partial x^5 \neq 0$. However, if the representation (2) was possible, then we would have

$$\frac{\partial g^{55}}{\partial x^5} = \frac{\partial^2 \Pi^{555}}{(\partial x^5)^2} + \sum_{i=1}^4 \frac{\partial^2 \Pi^{55i}}{\partial x^i \partial x^5}.$$

However, according to our general result about components of K tensors, all the terms in the right-hand side are 0s, so their sum cannot be equal to a nonzero value $\partial g^{55}/\partial x^5$.

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